

REQUEST FOR ROTH CONVERSION

Contract Number:

Contract Owner:

I authorize Guggenheim Life and Annuity Company to convert funds from my traditional IRA to a ROTH IRA.

I understand this will result in the converted value being reported to the Internal Revenue Service (IRS) as taxable income for the current income tax year. I understand that I will receive an IRS form 1099R next year for my income tax filing information.

I also understand that neither Guggenheim Life and Annuity Company nor its representatives are offering legal or tax advice, and that Guggenheim Life recommends I contact my tax advisor to discuss this conversion and the taxability of the transaction.

Amount to Convert: \$ _____ or _____ %

I agree to hold Guggenheim Life and Annuity Company harmless for any consequences arising from this election.

The following statement is required by the IRS: Under penalty of perjury, I certify that the number shown on this form is my correct Social Security Number and I am not subject to backup withholding.

I certify that I am not under guardianship, nor have I made any assignment, pledge, or executed any document affecting ownership or right to any monies due or to become due under this contract, and further that no proceedings in bankruptcy are pending to which I am a party.

This form dated at _____ on the ____ day of _____, 20____.
City/State

Signature of Owner(s) (if Joint – both must sign) _____
Owner's Social Security Number or (_____)
Taxpayer ID Number Owner's Telephone Number

Signature of Witness* (_____) _____
Telephone Number of Witness Owner's E-mail Address (if available)

*Owner's signature must be witnessed by an adult who is not a Beneficiary or newly named Owner.

After we have completed this transaction, an acknowledged copy of this form will be sent to you to be kept with your policy.

For Home Office Use Only

Recorded By _____ Date _____